

Client information

provided under the Swiss Financial Services Act (FinSA)

Nahmani Grunder & Cie AG ("Nahmani Grunder") is a leading independent asset manager with offices in Zurich and Geneva.

Zurich

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Geneva

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This client information may change from time to time. The latest valid version is always available at:

<https://www.nahmanigrunder.ch/en/client-information/>

Please do not hesitate to contact our client advisors if you have any questions or require further information.

Activities and scope of market offering

The activities covered by the FinSA include in particular asset management and various advisory services (investment advice, execution only, etc.). Nahmani Grunder provides clients with a comprehensive market offering in these areas; for more information on the market offering, please contact our account managers. All services with associated costs and risks are described in detail in the respective service contract with the associated appendices.

Conflicts of interest and economic ties

As a result of Nahmani Grunder's offering and activities, conflicts of interest may arise, both due to economic ties with third parties and to the relationships between group companies. Nahmani Grunder takes care to prevent conflicts of interest as far as possible or otherwise disclose them. This goal is achieved through appropriate internal policies and organisational precautions in connection with investment and employee transactions and for complying with market conduct rules. Upon request, Nahmani Grunder provides any further information.

Supervisory status

Nahmani Grunder is subject to authorisation by the Swiss Financial Market Supervisory Authority FINMA, Laupenstrasse 27, 3003 Bern, pursuant to Article 5 paragraph 1 of the Financial Institutions Act (FinIA). Nahmani Grunder is regulated by the supervisory organisation AOOS – Schweizerische Aktiengesellschaft für Aufsicht, Clausiusstrasse 50, 8006 Zurich.

Ombudsman

In case of conflict, you have the possibility to contact the designated ombudsman below. This service is free of charge.

The ombudsman will try to reach an agreement between the parties in a neutral and independent manner.

OFS Ombud Finance Switzerland
10 rue du Conseil-Général
1205 Geneva, Switzerland
Tel.: [+41 22 808 04 51](tel:+41228080451)
contact@ombudfinance.ch

Risk information

For information on the risks associated with securities investments, please refer to the respective service contracts with the associated appendices. We also refer you to the "Risks Involved in Trading Financial Instruments" brochure produced by the Swiss Bankers Association, available online at [SBA Risks Involved in Trading Financial Instruments 2019 EN.pdf \(swissbanking.ch\)](#)

If you have any questions, need additional information or wish to receive a printed copy of the brochure above, please contact our client advisors.

Client segmentation

The Financial Services Act divides clients into retail clients, professional clients or institutional clients.

The level of regulatory client protection is lower for professional and institutional clients than for retail clients. In order for clients to benefit from a full range of services and a comprehensive set of financial instruments, Nahmani Grunder offers its services only and exclusively to professional and institutional clients.

High-net-worth retail clients can be classified as professional clients ("opting out"). Our client advisors will inform you about the necessary requirements and what FinSA provisions do not apply in this case.